

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

216362US2

First Inventor or Application Identifier

Hirofumi TAKIKAWA, et al.

Title

METHOD FOR MANUFACTURING NANO-TUBE, NANO-TUBE MANUFACTURED THEREBY, APPARATUS FOR MANUFACTURING NANO-TUBE, METHOD FOR PATTERNING NANO-TUBE, NANO-TUBE MATERIAL, PATTERNED THEREBY, AND ELECTRON EMISSION SOURCE

Assignee Name: Futaba Corporation

Assignee Address: 629, Oshiba, Mobara-shi, Chiba-ken, Japan

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patent
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

2. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Sheets **17**
3. ☒ Drawing(s)
(35 U.S.C. 113) Total Sheets **6**
4. ☒ Oath or Declaration Total Pages **3**
- a. ☒ Newly executed (faxed copy)
- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signor statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



22850

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:	<i>Marvin J. Spivak</i>	Date:	11/21/01
Name:	C. Irvin McClelland	Registration No.:	21,124

Registration Number 21,124

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hirofumi TAKIKAWA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: METHOD FOR MANUFACTURING NANO-TUBE, NANO-TUBE MANUFACTURED THEREBY,
 APPARATUS FOR MANUFACTURING NANO-TUBE, METHOD FOR PATTERNING NANO-TUBE,
 NANO-TUBE MATERIAL PATTERNED THEREBY, AND ELECTRON EMISSION SOURCE

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
 WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	35 - 20 =	15	× \$18 =	\$270.00
INDEPENDENT CLAIMS	5 - 3 =	2	× \$84 =	\$168.00
■ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$280.00
□ LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$1,458.00
□ REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
□ FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
□ RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,458.00

- Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- A check in the amount of **\$1,458.00** to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
 MAIER & NEUSTADT, P.C.

Date: 11/21/01

Chm McGlelland
 Marvin J. Spivak
 Registration No. 24,913

C. Irvin McClelland
 Registration Number 21,124



22850

Tel. (703) 413-3000
 Fax. (703) 413-2220
 (OSMMN 10/00)